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FINAL REPORT



DEPARTMENT OF THE ARMY ASSISTANT SECRETARY OF THE ARMY (ACQUISITION, LOGISTICS AND TECHNOLOGY) WASHINGTON, D.C. 20310-0103

"Platforms for Persistent Communications, Surveillance and Reconnaissance"

September 2008

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CONFLICT OF INTEREST

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Studies of military operations indicate a Board investigated capabilities of platfo logistics burdens associated with platfor	need for improvements in persistent c rms deployed in space, near space and rm types.	ommunications, reconnaissance and surve I lower altitudes and assessed tradeoffs a	eillance (CSR). The Army Science mong benefits, weaknesses, costs and				
The study used a model to assess platf coverage; communications relay capabi KC-135) could be utilized for communic Analysis of Alternatives (AoA) was foun effective and accepted; and Lighter than	orm types versus relevant characteristi ilities are inadequate; use of commerci- ations relay; proponency for CSR platfo d; satellites are not sufficiently respons n Air (LTA) platforms have great potent	cs. Key findings include: persistence is no al space platforms for communications rel orms is distributed and many solutions are ive to lower echelon commanders; unmar ial.	t well defined; there are gaps in ay is very costly; large aircraft (e.g., ad hoc; no integrated mission aned platforms are increasingly				
Major recommendations include: assign proponency for LTA to the Aviation Center; retain proponency for High Altitude LTA platforms at SMDC; accelerate Medium Altitude LTA (untethered) prototypes for joint CSR experiments; invest to mature High Altitude LTA airship CSR platforms; form LTA Integrated Product Team (IPT) of technologists, material developers, and combat developers; conduct an integrated Analysis of Alternatives for CSR platforms mixes.							
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Army Science Board

Platforms for Persistent Communications, Surveillance and Reconnaissance

July 24, 2008

Study Co-Chairs: LTG(Ret) William Campbell, Mr. Chuck Vehlow Dr. Mike Wartell



Persistent CSR Study Membership

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- S&R Analysis
- Comparison of Comms and SR
- Analysis Conclusions
- Recommendations





The goal of this study is to suggest a concept and structure for best use of CSR assets, including reporting on:

- What technical capabilities are possible from Space-based, "Near-Space" based, High-Altitude Long-Endurance UAV-based or Airship-based platforms?
- How do these capabilities compare to those available on lower altitude UAVs as well as ground-based and other assets?
- How does one trade off the benefits and weaknesses of each type of asset?
- To what degree can both the current and the future forces increase mobility and sustainability through integration and systematic use of such assets?
- To what degree do such platforms decrease the logistics and support burden for sustained operations?
- How does cost of each type of platform compare?



- Assume current conflict/enemy
- Focus on 3–5 year timeframe
- Focus on unmanned air/space platforms
 - Assume existing/planned sensors and comms devices
 - Do not consider ground-based systems
- Focus on BCT and below
- SR in theater is near-real time, and SR comms links to-and-from platform are integral to the system
- Intelligence dissemination not considered
- Analysis of Comms and SR is done independently and then merged



Visits/Contacts



Persistent CSR



Key Findings from Visits

- Persistence is not well defined. The definition the study used: "If I have what I need, when I need it, for as long as I need it it is persistent"
- Gaps in coverage and persistence exist
 - The disadvantaged user lacks adequate Comms Relay capability
 - Army highly dependent on costly commercial space platforms for Beyond Line of Sight (BLOS) Comms
 - Large aircraft (e.g., KC-135) could be utilized for Comms Relay
- Proponency for persistent CSR platforms is distributed
 - Multiple Joint Urgent Operational Needs Statements (JUONS) have been submitted resulting in multiple ad-hoc solutions
 - No integrated mission Analysis of Alternatives (AoA) was found
- SATs are not sufficiently responsive to BCT and below commanders
- For the CSR mission at BCT and below, unmanned platforms are increasingly effective and accepted
- There is a skepticism in the Army about employing untethered Lighter than Air (LTA) platforms
- Technological advances supporting unterhered LTAs are emerging rapidly

Communications Operational Overview (~2013)





Analysis Model

Platform Attributes		Platforms
 • Cechnical 9. Vulnerability 9. Reconfigurability 9. Power 9. Payload Size 9. Time on Station • Mobility & Sustainability 9. Mission Agility 9. Tactical Responsiveness 9. Ali Weather Ops 9. Airspace Mgt 9. Area of Coverage 9. Support Infrastructure 9. User SWaP (Manpack) 9. Supin Hour Cost 9. Filing Hour Cost 9. Time to Market 	Weight Factors (1–5)	 Fixed Wing (UAVs) Low Altitude – e.g., Shadow/Firescout Medium Altitude – e.g., Warrior High Altitude – e.g., Global Hawk Lighter Than Air (LTAs) Low Altitude (Tethered) – e.g., RAID Low Altitude (Untethered) – e.g., BA 71 Medium Altitude (Tethered) – e.g., JLENS Medium Altitude (Untethered) – e.g., BA 145 High Altitude (Untethered) – e.g., HAA Satellites (SATs) Low Earth Orbit (LEO) Conventional – e.g., Ikonos/Iridium Innovative LEO – e.g., TACSAT 2/ORS Medium Earth Orbit (MEO) – e.g., GPS Geosynchronous Orbit (GEO) – e.g., DSCS/UFO/ INMARSAT Grading Factors (1–4 shown as colors) Notes: Sensitivity Analysis Observations



Grading Factor Criteria

Criteria Attributes	Red - 1	Yellow - 2	Green - 3	Blue - 4
Vulnerability*	Small Arms & MANPAD Dest.	Small Arms & MANPAD Damage	Mobile SAMs	Fixed SAMs
Reconfigurability	<< Warrior	< Warrior	Warrior	> Warrior
Power (Platform/User)	< 50 watts > 200 watts	50 – 300 watts 20 – 200 watts	300 – 2K watts 5 – 20 watts	2K watts < 5 watts
Payload Size (Platform/User)	< 75 lbs Fixed Station	75 – 200 lbs Vehicle Mounted	200 – 500 lbs Manpack	> 500 lbs Handheld
Time on Station	< 12 hrs	12 hrs – 36 hrs	36 hrs – 10 days	> 10 days
Mission Agility	<< Warrior	< Warrior	Warrior	> Warrior
Responsiveness	<< Warrior	< Warrior	Warrior	> Warrior
All Weather Ops	<< Warrior	< Warrior	Warrior	> Warrior
Airspace Mgt*	Restrictions	VFR Controlled	Part of ATO	Outside of ATO
Coverage Area*	< 5K Altitude	5K – 10K Altitude	10K – 25K Altitude	> 30K Altitude
Spt Infrastructure	<< Warrior	< Warrior	Warrior	> Warrior
SWaP/Manpack	Not Voice Capable	Voice Capable	Image Capable	Video Capable
Acquisition Cost	> \$50M	\$10M – \$50M	\$1M – \$10M	< \$1M
Flying Hr Cost	> \$20K	\$5K – \$20K	\$1K – \$5K	< \$1K
TRL	4 or less	5-6	7 – 8	9 or greater
Time to Market	> 1 year	< 1 year	Some Deployment	Widely Deployed

* Decrement 1 Color for Tether



Analysis Model

Platform Attributes		Platforms
 Fechnical 9. Vulnerability 9. Reconfigurability 9. Power 9. Payload Size 9. Time on Station Mobility & Sustainability 9. Mission Agility 9. Tactical Responsiveness 9. Ali Weather Ops 9. Airspace Mgt 9. Area of Coverage 9. Support Infrastructure 9. User SWaP (Manpack) 9. Supi Hour Cost 9. Flying Hour Cost 9. TRL 9. Time to Market 	Weight Factors (1–5)	 Fixed Wing (UAVs) Low Altitude – e.g., Shadow/Firescout Medium Altitude – e.g., Warrior High Altitude – e.g., Global Hawk Lighter Than Air (LTAs) Low Altitude (Tethered) – e.g., RAID Low Altitude (Untethered) – e.g., BA 71 Medium Altitude (Tethered) – e.g., JLENS Medium Altitude (Untethered) – e.g., BA 145 High Altitude (Untethered) – e.g., HAA Satellites (SATs) Low Earth Orbit (LEO) Conventional – e.g., Ikonos/Iridium Innovative LEO – e.g., TACSAT 2/ORS Medium Earth Orbit (MEO) – e.g., GPS Geosynchronous Orbit (GEO) – e.g., DSCS/UFO/ INMARSAT Grading Factors (1–4 shown as colors) Notes: Sensitivity Analysis Observations



Persistent Communications Platform Analysis

	Weight	Low Alt	Med Alt Fixed	High Alt	Low Alt LTA	Low Alt LTA	Med Alt LTA	Med Alt LTA	High Alt LTA		LEO		
	Factor	Fixed Wing	Wing	Fixed Wing	(Tethered)	(Untethered)	(Tethered)	(Untethered)	(Untethered)	LEO Conv	Innovative	MEO	GEO
		1.		the	And	Q+			3	- to	0		-
Vulnerability	5	1	3	4	1	2	2	3	4	4	4	4	4
Reconfigurability	3	2	3	2	4	4	2	4	2	1	1	1	1
Power	3	2	3	3	4	3	4	4	4	4	1	3	3
Payload Size	3	1	3	3	3	3	4	4	3	3	1	3	3
Time on Station	5	1	3	3	3	1	3	3	4	1	1	1	4
Mission Agility	1	2	3	4	1	2	1	3	4	1	1	1	3
Tactical Responsiveness	3	4	4	2	3	4	3	4	3	1	2	1	1
All weather Ops	5	1	3	4	1	2	1	3	4	4	4	4	4
Airspace Mgt	1	2	3	4	1	2	2	3	4	4	4	4	4
Area of Coverage	5	1	3	4	1	2	2	3	4	4	4	4	4
Support Infrastructure	3	4	3	1	1	3	1	3	3	3	3	3	3
User SWAP (Manpack)	5	4	4	3	4	4	4	4	3	2	1	1	2
Acquisition Cost	2	4	3	2	3	3	2	3	2	1	2	1	1
Flying Hour Cost	3	4	3	1	4	4	4	4	3	2	3	2	2
TRL	3	4	4	4	4	3	2	2	1	4	1	1	4
Time to Market	3	4	3	3	3	2	1	2	1	4	1	1	4

Observations

- Highest valued platforms: Med & High Alt LTA (Untethered), Med & High Alt UAVs and GEO SATs
- High altitude long endurance LTA (Untethered) has promising high payoff but has technical risk
- Lowest valued platforms: Innovative LEO, MEO SATs and Low Alt Fixed Wing



Comm Weighted Relative Comparison



Persistent Surveillance & Reconnaissance Platform Analysis

	Weight	Low Alt	Med Alt Fixed	High Alt	Low Alt LTA	Low Alt LTA	Med Alt LTA	Med Alt LTA	High Alt LTA		LEO		
	Factor	Fixed Wing	Wing	Fixed Wing	(Tethered)	(Untethered)	(Tethered)	(Untethered)	(Untethered)	LEO Conv	Innovative	MEO	GEO
		1-		trace	p.	Q+	1	Ú.	-	-	0	- Barris	1
Vulnerability	3	1	3	4	1	2	2	3	4	4	4	4	4
Reconfigurability	3	2	3	2	4	4	2	4	2	1	1	1	1
Power	1	2	3	3	4	3	4	3	4	4	1	3	3
Payload Size	2	1	3	3	3	3	4	4	3	3	1	3	3
Time on Station	3	1	3	3	3	1	3	3	4	1	1	1	4
Mission Agility	3	2	3	4	1	2	1	3	4	1	1	2	1
Tactical Responsiveness	5	4	4	2	3	4	3	4	3	1	2	1	1
All weather Ops	5	1	3	4	1	2	1	3	4	4	4	4	4
Airspace Mgt	2	2	3	4	1	2	2	3	4	4	4	4	4
Area of Coverage	3	1	3	4	1	2	2	3	4	4	4	4	4
Support Infrastructure	3	4	3	1	1	3	1	3	3	3	3	3	3
User SWaP (Manpack)	5	4	4	4	4	4	4	4	4	2	1	1	2
Acquisition Cost	2	4	3	2	3	3	2	3	2	1	2	1	1
Flying Hour Cost	3	4	3	1	4	4	4	4	3	2	3	2	2
TRL	3	4	4	4	4	3	2	2	1	4	1	4	4
Time to Market	4	4	3	3	3	2	1	2	1	4	1	1	4

Observations

- Highest valued platforms: Med & High Alt LTA (Untethered) and Med & High Alt UAVs
- High altitude long endurance LTA (Untethered) has promising high payoff but has technical risk
- Lowest valued platforms: Med Alt LTA (Tethered) and Innovative LEO





Comparison of Persistent Comms & SR





Observations

- Med & High Alt platforms rate the best for both Comms and SR
- Fixed wing and LTA (Untethered) are comparable for the same altitude
 - Offer potential opportunities for shared/integrated platform use
- Innovative LEO scored low for Persistent Comms & SR
- GEO SATs did better for Comms than SR



Analysis Conclusions

- Medium and High Altitude LTA airships (untethered) total scores were about equal to or better than UAVs at comparable altitudes for Persistent CSR because of reconfigurability, time on station, payload size and flying hour costs for medium altitude and time on station, support infrastructure and flying hour costs for high altitude.
- Medium altitude LTA airships offer promising capabilities for CSR in the near term due to rapidly maturing capabilities based on a number of factors including time on station, all weather capability, flying hour cost and vulnerability
- LTA aerostats (tethered) compared poorly to LTA airships (untethered) because of vulnerability, support infrastructure, altitude limitations, and weather sensitivities.
- For Persistent CSR, Innovative LEO did not rate well because of limited time on station
- GEO SATs have high potential value which is offset by high acquisition costs and Space Weight and Power (SWaP) requirements of user terminals

As a result,

- High altitude LTA and UAV platforms permit offload of communication traffic from high cost commercial satellites and future military satellites (e.g.,TSAT)
- The maturation and potential payoff of LTA technology warrants further investment in experimentation and potential acquisition because of persistence on station



- Assign proponency for LTA in the controlled airspace to the Aviation Center. TRADOC
 - SMDC should retain proponency for High Altitude
- Accelerate employment of Medium Altitude LTA (untethered) prototypes for joint CSR experiments in operational environments (e.g., Ft Bliss, JEFX) ASA(ALT)
- Increase the investment in technology to mature High Altitude LTA airships for use as CSR platforms. ASA(ALT)
- Form collaborative LTA Integrated Product Team (IPT) of technologists, material developers, and combat developers ASA(ALT)/G-3
- Conduct an integrated AOA that includes persistent comms and SR payloads, UAV and LTA platforms, large Aircraft (e.g., KC-135), and commercial and military satellites that explicitly addresses alternative mixes of capabilities. TRADOC



Army Science Board

Platforms for Persistent Communications, Surveillance and Reconnaissance

July 24, 2008

Study Co-Chairs: LTG(Ret) William Campbell, Mr. Chuck Vehlow Dr. Mike Wartell

The sponsor for this study is the Commander of the USA SMDC/ARSTRAT, LTG Kevin Campbell. The Acting Assistant Secretary of the Army for Acquisition, Logistics and Technology (ASA ALT), Mr. Dean Popps, requested the ASB perform a study to assess the viability of unmanned platforms to provide for persistent Communications, Surveillance and Reconnaissance in current conflict scenarios.

His guidance focused the study on echelons from the BCT and below.



The study team consisted of a diverse set of professionals with military, business and academic credentials with the disciplines of engineering, science, and communications and sensor/radar systems. Detailed backgrounds can be found in the ASB Biography Handbook. Mr. Tom Pagan, Deputy Chief Scientist, served as the USA SMDC / ARSTRAT Command principal study representative and technical advisor to the study.



The presentation follows the agenda shown in the above chart. The study panel used meetings, visits, and research to collect an updated baseline of information, used the baseline to develop and implement an analysis process, and used the results as the basis for conclusions and recommendations.

The figure shows the coverage from platforms at various altitudes. The persistent Communications/SR missions are dependent on their coverage and the types of payloads. This study will look at platform alternatives, much of which is related to coverage as seen inside the various circles. Note, the coverage of satellites is far greater than air sensors and is not represented in the figure.



Persistent CSR Terms Of Reference

The goal of this study is to suggest a concept and structure for best use of CSR assets, including reporting on:

- What technical capabilities are possible from Space-based, "Near-Space" based, High-Altitude Long-Endurance UAV-based or Airship-based platforms?
- How do these capabilities compare to those available on lower altitude UAVs as well as ground-based and other assets?
- How does one trade off the benefits and weaknesses of each type of asset?
- To what degree can both the current and the future forces increase mobility and sustainability through integration and systematic use of such assets?
- To what degree do such platforms decrease the logistics and support burden for sustained operations?
- How does cost of each type of platform compare?

Expanding situational awareness and facilitating communications among units allows all types of modular brigades to enjoy greater mobility, especially if communications can be maintained while "on-the-move" and in austere environments. Such capability will also improve reachback for support from non-organic fires and intelligence. Obtaining the best balance between performance, mobility and supportability of communications and sensing capabilities is critical to enhancing the effectiveness of combat units at every level of command. The goals of this study are shown above. The entire TOR is in Appendix A.



The study was bounded by some key assumptions as noted above. The mission was constrained to the current conflict and enemies, and the current phase of the campaign. Focus of the required persistent CSR support was to the BCT and below with the disadvantaged user of key importance. Unmanned platforms were the primary focus. It was assumed that the Communications and SR payloads fit within the platform size, weight and power constraints.

The technologies to be considered should result in system implementation within a three to five year timeframe. The study began its analysis with two sub panels – Communications and Surveillance & Reconnaissance. After providing detailed analysis and associated results for each of the two separate areas, the results were merged and integrated to ensure findings to both mission areas were ascertained.



This study started with the review of previous studies and documents conducted by NASA, Navy, Air Force and Army. The panel met with a variety of organizations in addition to reviewing previous reports and in-house briefings. The organizations included technology, material development, and combat development entities along with warfighters with recent OIF/OEF experience. Participation included both Army and Air Force organizations in addition to three DoD agencies – DARPA, NGA, and NRO. Five companies intimately involved in the development of Lighter than Air (LTA) platforms provided their current status in development and associated technology.



During visits, briefers presented their cases. Each showed the trade spaces they were involved in and proposed approaches for persistent Communications and SR. Fallout from these visits includes an understanding of the "as is" situation and a better understanding of the problem. After combining the panel inputs and individual perceptions of what we heard, key findings, as shown above, surfaced.

During these interfaces, it became clear to the study panel that the definition of persistent varied from organization to organization and mission to mission, leading the Panel to define it as above. It also became evident that there is a lack of integrated analysis and/or trade studies that included the different platforms. Despite advances in LTA technologies, the panel noted skepticism within the Army ("giggle factor") that impeded full consideration as CSR platforms, similar to attitudes toward UAVs in years past.



This slide shows a graphic representation of expected operational communications in the 2013 timeframe.



The assessment model developed for this study considered sixteen key platform attributes which can be separated into four general assessment areas suggested by the TOR:

- The technical capability of the platform to achieve the study goal of persistence with regard to its vulnerability to the environment; its ability to accept different payloads and perform differing missions; maintaining sufficient power for both longevity and agility; sufficient payload capacity consistent with altitude and payload configuration; and both technical and engineering design to enable prolonged on-station operations.
- The operational platform mobility and its capability to sustain the rigors of varying environments have profound implications to overall platform mission operations. These attributes are mission agility; tactical responsiveness; all weather operations; airspace management; and area of coverage. Since this study is keyed to persistent support to the BCT and below, responsiveness of the platform and its requisite payload to the appropriate tactical combat commander is a need currently not filled. Agility of the platform overlaid with its overall innate area of coverage is a requisite need to enable persistent Comms and provide for localized SR to the particular echelon.
- Support burden refers to both the logistical and operational infrastructure support required to transport, operate, and maintain the platform. A key factor is the size of the crew necessary for operations that may include 24/7 for long periods of time.

Platforms for Persistent Communications, Surveillance and Reconnaissance - 9

- Also to be considered is force protection required of some platform operations. In addition to Size, Weight and Power (SWaP) as it relates to the platform operational needs, consideration must be given to the trades associated with potential payload designs due to payload distance from users. This can affect both onboard platform SWaP parameters and in the case of Comms, ground based systems.
- The two major cost areas influencing the selection of particular platforms are the acquisition cost and its operational cost characterized by flying hour cost. The technology maturity is reflected in the platform TRL status. Time to market of the platform reflects availability to the force and deployment within the force.

The model used in this assessment takes the twelve platforms listed in the chart and grades each of the platforms against each of the attributes on a scale of 1 to 4 with 4 denoting relative score of high for the given attribute. This grading uses information gained from those reports listed in the included bibliography, discussion with outside experts, and detailed review by the panel members. The evaluation considered the medium altitude UAV Warrior as the reference platform with the grading scores of the other platforms lower, equal, or higher than the reference within the scoring range of 1 to 4. See the next chart for the grading criteria. Additionally, a weighting factor was assigned to each attribute for each of the two missions considered – one set for Comms, and one set for SR. The scale was set at 1 to 5, with the relative scale of 5 being of highest importance for the particular attribute within the given mission area. The results of the attribute grading and the application of the weighting factors provided relative scores for each platform which could then be compared to determine the relative value of each platform in both the Comms and the SR mission areas.

🚺 Grac	ling Fa	ctor Cri	teria	
Criteria Attributes	Red - 1	Yellow - 2	Green - 3	Blue - 4
Vulnerability*	Small Arms & MANPAD Dest.	Small Arms & MANPAD Damage	Mobile SAMs	Fixed SAMs
Reconfigurability	<< Warrior	< Warrior	Warrior	> Warrior
Power (Platform/User)	< 50 watts > 200 watts	50 – 300 watts 20 – 200 watts	300 – 2K watts 5 – 20 watts	2K watts < 5 watts
Payload Size (Platform/User)	< 75 lbs Fixed Station	75 – 200 lbs Vehicle Mounted	200 – 500 lbs Manpack	> 500 lbs Handheld
Time on Station	< 12 hrs	12 hrs – 36 hrs	36 hrs – 10 days	> 10 days
Mission Agility	<< Warrior	< Warrior	Warrior	> Warrior
Responsiveness	<< Warrior	< Warrior	Warrior	> Warrior
All Weather Ops	<< Warrior	< Warrior	Warrior	> Warrior
Airspace Mgt*	Restrictions	VFR Controlled	Part of ATO	Outside of ATO
Coverage Area*	< 5K Altitude	5K – 10K Altitude	10K – 25K Altitude	> 30K Altitude
Spt Infrastructure	<< Warrior	< Warrior	Warrior	> Warrior
SWaP/Manpack	Not Voice Capable	Voice Capable	Image Capable	Video Capable
Acquisition Cost	> \$50M	\$10M – \$50M	\$1M - \$10M	< \$1M
Flying Hr Cost	> \$20K	\$5K – \$20K	\$1K – \$5K	< \$1K
TRL	4 or less	5 – 6	7 – 8	9 or greater
Time to Market	> 1 year	< 1 year	Some Deployment	Widely Deployed
				Decrement 1 Color for Tel
			Pe	rsistent CSR

The criteria for grading the platform attributes in this study are contained in the above chart. As was stated before, the scaling is 1 to 4 with the base reference system the medium altitude UAV WARRIOR. The WARRIOR grading is presented in the Green (3) column and the remaining three columns (1, 2, 4) are referenced to this. The breakpoints within each grading area were independently derived based upon information gleaned from both the documentation contained in the bibliography, discussions with experts presenting material to the panel, and in-depth deliberations among the panel members.

As shown, eight criteria can be referenced to definitive metric (quantitative) values. The separation of values was keyed to the WARRIOR as equal to, greater than, or less than the stated ranges. As an example, the payload capability for the WARRIOR is in the 200 to 500 pound range. The SHADOW is given a grade of 1 (red) with its < 75 pound capability and a medium altitude LTA (e.g., JLENS) has a grade of 4 (blue) with a payload range of > 500 pounds. These grades characterize the ability of the platform to carry sensor and/or Comms payloads. For example, the SHADOW has a smaller payload capability as related to WARRIOR and is graded a 1 as opposed to a JLENS (grade 4) which can carry a larger payload.

The other eight criteria have implicit quantitative and qualitative values which have been considered in deriving a quantitative grading representation. For example, because of its operational altitude the WARRIOR platform is susceptible to vehicle mounted SAMs but

too high to be challenged with small arms and MANPADs. Hence, the grading is 3 (green). The SHADOW, which operates at low altitude, is susceptible to small arms fire so its vulnerability is graded as 1 (red). The higher altitude platforms, such as High Altitude Airships or GLOBAL HAWK, are susceptible to fixed SAMs which are not included in the inventory of the enemy considered in the current conflict and results in a grading of 4 (blue).

For three of the criteria (vulnerability, airspace management, coverage area), the tethered LTAs were decremented by one grade due to the increased issues created by the tether and its associated fixed ground facilities.

The grading for each of the criteria are incorporated in the following charts -(1) PERSISTENT COMMUNICATIONS, and (2) PERSISTENT SURVEILLANCE & RECONNAISSANCE.



For each platform type, the panel took the weighted sum of the grading factors, normalized them and represented them graphically in order to compare them. This was done separately for Comms and SR. The grading factors and weights were varied in order to determine the sensitivities of the results to those changes. The results increased the panels' confidence in the analysis. Further, the Saaty Analytic Hierarchy Process (AHP) was used to further validate the results. The following two charts present the results of the assessment, one for persistent communications and one for persistent surveillance and reconnaissance.



The above chart summarizes the results of the Panel's subjective, but systematic process to evaluate alternative platforms for hosting a communications relay. The estimated uncertainty in the scoring of each cell is within +/- 1 level, based on the criteria. As previously mentioned, weighting factors were estimated for each of the attributes. Higher weights were given to attributes that directly contribute to assured persistence needed for a communications relay. Lower weights were given to rapid agility as is consistent with the relay mission. When the weighted attribute scores are summed, the results are shown on the bar graph.

The highest scoring platform solutions are medium and high altitude LTAs (untethered) and UAVs along with GEO SATs. For the medium altitude solutions, the scoring reflects balanced strength among all of the attributes and few areas of weakness. For the high altitude untethered LTAs, the weaknesses reflect relatively immature technology readiness levels indicating risk. GEO SAT scored high for persistence and large payloads but had limited tactical responsiveness to the BCT, high acquisition costs and low user SWaP.

The lowest valued platforms are non-GEO satellites because of orbit-driven lack of persistence and low altitude UAVs because of small area coverage.

The highest scoring platforms scored well on a number of attributes.



In a similar fashion, the above chart summarizes the results of evaluating alternative platforms for hosting surveillance and reconnaissance sensors. The same evaluation criteria were used but the weighting factors were adjusted to measure the value of the attributes that directly contribute to persistent SR. Higher weights were given to factors like tactical responsiveness, all weather operations and user Space, Weight and Power (SWaP) considerations while lower weights were given to factors like platform power. The results are shown on the above bar graph.

Like communications, the highest scoring platform solutions are medium and high altitude LTAs (untethered) and UAVs. The medium altitude solutions continue to show balanced strength among all of the attributes and few areas of weakness, while the high altitude platforms show great operational potential but reflect relatively immature technology readiness levels indicating risk.

The lowest valued platforms are non-GEO satellites because of orbit-driven lack of persistence, and medium altitude LTAs (tethered) because of their lack of mission agility

and the support infrastructure necessary for the ground sites associated with tethered LTAs.



The summary charts from the two previous slides are presented here to provide a side-byside comparison of the scores for communications and surveillance & reconnaissance. As can be seen, there is a great deal of similarity to the results. Platforms tend to be judged about the same for both applications within a small variation.

As noted in the observations, three platforms – medium altitude UAVs and untethered LTAs medium and high altitudes – are rated the highest in both charts. High altitude UAV platforms alternate with geosynchronous satellites for the fourth position. Geosynchronous satellites are very competitive for communication, but less so for surveillance and reconnaissance.

Altitude is more closely correlated with value than platform type, in that medium altitude UAVs correlate closer to medium altitude untethered LTA platforms than to high altitude UAV platforms. The same is true for high altitude UAV and high altitude untethered LTAs.

Low earth orbit innovative platforms did not score well in either case. The principal issue was the perception that "time on station" or persistence was difficult to reconcile with concepts that seemed to value small, low-cost platforms in sparse constellations.

A key point is that these comparisons are relative and the lowest scoring assets are still valuable assets; however, they did not score as well as others. An example is that low altitude tethered aerostats have a very low score but are currently performing adequately in theater providing continuous video feeds when elevated.

This analysis shows that higher altitude platforms provide greater area coverage and time on station leading to persistence.



Based on the analysis of both Comms and SR, conclusions are shown on the above chart. Medium and high altitude LTA airships showed great promise for increased mission support capability because of a number of key platform attributes. These include reconfigurability, time on station, payload size and flying hour costs. Additionally, the medium altitude LTA airship rapidly maturing technical capabilities also offer promise.

Tethered LTA aerostats have been used in current operational scenarios and have provided value, but are very vulnerable, have a large support structure, and suffer weather limitations. On the other hand, untethered LTAs offer great promise given the current scenario aspects.

Additionally, Innovative LEO did not rate well because of their very limited time on station. Further capability could be provided if used in a constellation arrangement.



Our recommendations are summarized on the above chart.

There is currently no proponent for Lighter Than Airships in the controlled air space - - this proponency should be assigned to the Aviation Center by TRADOC.

There is great value in continuing to examine the viability of LTAs in medium altitude operational scenarios - -therefore, accelerate LTA development and operational employment in experiments such as the FCS exercises at Ft Bliss and/or the annual JEFX.

The maturation of High Altitude LTA airships could also be hastened by increased investment - - resources in this area need to be increased.

There also needs to be a collaborative organization that will enable the LTA community to support the continued development and fielding of LTA at low, medium and high altitude. Accordingly, it is recommended that a collaborative LTA IPT be formed including but not limited to AMCOM, AMRDEC, PEO Aviation, the Aviation Center, PEO Space and Missile Defense, and SMDC/ARSTRAT

Finally, the Army should conduct an integrated AOA that includes persistent Comms and SR payloads, UAV and LTA platforms, large Aircraft (e.g., KC-135), and commercial and military satellites that explicitly addresses alternative mixes of capabilities. Inputs

from the LTA IPT should be available to assist in conducting the LTA aspects of the AoA.



Bibliography Introduction

- The persistent CSR study team compiled a large number of references in the course of the study. These documents supported the analysis and provided ready reference to help answer questions and to learn more about systems and capabilities.
- The bibliography is organized in the same manner as the visits made by the CSR team. The visit schedule lists the trips. Following this "table of contents" is a detail of each visit, including the titles of the presentations provided to the CSR team during their visit. The electronic copy of the briefing can be found in the ASB CSR Knowledge Center, filed under the title of the trip or visit.
- Should you have any questions, please contact the ASB CSR Study Manager, Ms Anorme Anim.

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- **G-6**
 - Persistent Communications, Surveillance, and Reconnaissance (U)
- SMDC/ARSTRAT
 - Army High Altitude Information Briefing and Way Ahead



- Would like to have study look at HAA and HA alternatives—would like a capabilities based assessment—also look at how the same capability supports the different phases of a campaign—initial conflict, sustained combat, occupation, transition, civilian control—Giggle factor of HAA is something that must be overcome—TRADOC capabilities briefers still have a big giggle factor
- Look at the gaps that exist in the communications, surveillance, and reconnaissance, capabilities at different altitudes and what can be the GAP FILLERS—look at the different Service aspects of each of these 3 elements—look at near term things (3-5 years) and earlier
- Concerned that if TSAT doesn't happen, or is delayed, what are the alternatives (e.g. especially to support FCS)





- Joint Transformation Command Intelligence briefings in HQ CCR
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ORS Office Update

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- Msn Support Maneuver Unit: WAVERUNNER

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- Warfooting Support
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APPENDIX A

TERMS OF REFERENCE

DEPARTMENT OF THE ARMY WASHINGTON DC 20310-0103



MAR 2 8 2008

Dr. Frank H. Akers, Jr. Chairman, Army Science Board 2511 Jefferson Davis Highway Arlington, Virginia 22202

Dear Dr. Akers:

I request that the Army Science Board (ASB) conduct a study entitled "Persistent Communications, Surveillance and Reconnaissance (CSR) for the Current and Future Force." The study should be guided by, but not necessarily limited by, the Terms of Reference described below:

Background: Current studies of the military operations in Operation Enduring Freedom and Operation Iragi Freedom, as well as predictions concerning similar future operations indicate a need for better (increased or more efficient) communications coverage as well as enhanced sensor capability for reconnaissance and surveillance. "Better" and "enhanced" can assume a broad range of meanings depending on the situation and echelon involved; however, it is generally accepted that one goal relates to persistence of communication and sensing; another relates to clarity or fidelity, and a third relates to available bandwidth. In three previous ASB studies, two involving Intel and one involving LandWarNet, persistent contact among all echelons has been suggested and persistent overwatch and surveillance has been sought. These improvements are especially necessary in order to maintain situational awareness and reachback for supporting fires as well as providing knowledge of location and condition of friendly and enemy units. Further, information requirements of forces (whether large or small) in imminent contact with enemy forces demand nearly continuous and timely information flow, better ensuring the safety of forces and increasing the margin of victory.

Expanding situational awareness and facilitating communications among units allows all types of modular brigades to enjoy greater mobility, especially if communications can be maintained while "on-the-move" and in austere environments. Such capability will also improve reachback for support from nonorganic fires and intelligence.

Scope: Obtaining the best balance between performance, mobility, and supportability of communications and sensing capabilities is critical to enhancing the effectiveness of combat units at every level of command. Thus, organizing appropriate combinations of communications equipment and sensors is a focal point of this study in support of the operational concepts for the current and future forces. In some cases, combinations of highly elevated platforms are most effective in providing necessary capabilities. In other cases, ground based sensors might be the most useful. In any event, a systematic approach to developing a model for communications and sensing using combinations of available assets is necessary to utilize those assets in the most efficient fashion.

The goal of this study is to suggest a concept and structure for best use of CSR assets, including reporting on:

a. What technical capabilities are possible from Space-based, "Near-Space" based, High-Altitude Long-Endurance Unmanned Aerial Vehicle (UAV)-based or Airship-based platforms?

b. How do these capabilities compare to those available on lower altitude UAVs as well as ground-based and other assets?

c. How does one trade off the benefits and weaknesses of each type of asset?

d. To what degree can both the current and the future forces increase mobility and sustainability through integration and systematic use of such assets?

e. To what degree do such platforms decrease the logistics and support burden for sustained operations?

f. How does cost of each type of platform compare?

Study Sponsorship: Sponsor for this study is the United States Army Space and Missile Defense Command/Army Forces Strategic Command.

Study Duration: A briefing will be provided by July 24, 2008. The final report should be provided by October 15, 2008.

Sincerely,

Dean G. Popps **V** Acting Assistant Secretary of the Army (Acquisition, Logistics and Technology)

APPENDIX B

PARTICIPANTS LIST



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APPENDIX C

ACRONYMS

AFB	Air Force Base
AHP	Analytic Hierarchy Process
AMCOM	Aviation and Missile Command
AMRDEC	Aviation and Missile Research Development and Engineering Center
AoA	Analysis of Alternatives
ASA(ALT)	Assistant Secretary of the Army for Acquisition, Logistics and
~ /	Technology
ASB	Army Science Board
ATO	Air Tasking Orders
BCT	Brigade Combat Team
BLOS	Bevond-Line-of-Sight
CAV	Cavalry
CSR	Communications. Surveillance and Reconnaissance
DARPA	Defense Advanced Research Projects Agency
DSCS	Defense Satellite Communications System
GEO	Geosynchronous Earth Orbit
GPS	Global Positioning System
HO	Headquarters
IPT	
JEFX	Joint Expeditionary Force Experiment
JFCOM	Joint Forces Command
JLENS	Aerostat with radars: Joint Land Attack Cruise Missile Defense
	Elevated Netted Sensor
JUONS	Joint Urgent Operational Needs Statements
LEO	Low Earth Orbit
LTA	Lighter than Air
LTG	Lieutenant General
MANPAD	Man Portable Air Defense
MEO	Medium Earth Orbit
MITRE	A Federally Funded Research and Development Center (FFRDC);
	originally "Massachusetts Institute of Technology Research and
	Engineering"
NGA	National Geospatial Intelligence Agency
NRO	National Reconnaissance Office
NVL	Night Vision Laboratory
OEF	Operation Enduring Freedom
OIF	Operation Iraqi Freedom
ORS	Operationally Responsive Space
PEO	Program Executive Office
PM	Program Manager
RAID	Rapid Aerostat Initial Deployment
RAID	Rapid Aerostat Initial Deployment
ROTC	Reserve Officer Training Corps
SAT	Satellite
SMDC/	Space and Missile Defense Command / Army Forces Strategic
ARSTRAT	Command

SR	Surveillance and Reconnaissance
SWaP	Size, Weight and Power
TOR	Terms of Reference
TRAC	TRADOC Analysis Center
TRADOC	Training and Doctrine Command
TRL	Technology Readiness Level
UAS	Unmanned Aerial System
UAV	Unmanned Aerial Vehicle
UFO	UHF Follow-on
VFR	Visual Flight Rules